

02-26-01 03 Rec'd PCT/PTO 23 FEB 2001

FORM PTO-15 (Rev. 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES					
DESIGNATED/ELECTED OFFICE (DO/EO/US)					
CONCERNING A FILING UNDER 35 U.S.C. 371					
INTERNATIONAL APPLICATION NO. PCT/NZ99/00137		INTERNATIONAL FILING DATE 24 August 1999		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 09/763511	
				PRIORITY DATE CLAIMED 24 August 1998	

TITLE OF INVENTION
METHOD OF SELECTING AND/OR PROCESSING WOOD ACCORDING TO FIBRE CHARACTERISTICS

APPLICANT(S) FOR DO/EO/US
ALBERT, Denis John; WALKER, John Corrie Fleming; DICKSON, Ross Lindsay; CLARK, Thomas Alan

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. ☒ A copy of the International Search Report (PCT/ISA/210).
- 8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- 9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- 11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- 12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

- Items 13 to 20 below concern document(s) or information included:**
- 13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - 14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 - 15. ☒ A **FIRST** preliminary amendment.
 - 16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 - 17. ☐ A substitute specification.
 - 18. ☐ A change of power of attorney and/or address letter.
 - 19. ☒ Certificate of Mailing by Express Mail
 - 20. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 09/762511	INTERNATIONAL APPLICATION NO. PCT/NZ99/00137	ATTORNEY'S DOCKET NUMBER 31386
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21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☒ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1,000.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$860.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$1,000.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	30 - 20 =	10	x \$18.00
Independent claims	6 - 3 =	3	x \$80.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>

\$0.00**TOTAL OF ABOVE CALCULATIONS =****\$1,550.00**

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00**SUBTOTAL =****\$1,550.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00**TOTAL NATIONAL FEE =****\$1,550.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00**TOTAL FEES ENCLOSED =****\$1,550.00****Amount to be:****refunded**

\$

charged

\$

☒ A check in the amount of **\$1,550.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **19-0522** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas H. Van Hoozer, Reg. No. 32,761
Hovey, Williams, Timmons & Collins

**23589**

PATENT TRADEMARK OFFICE

SIGNATURE

Thomas H. Van Hoozer

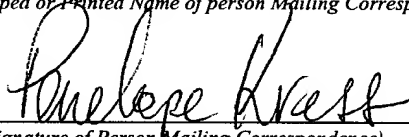
NAME

32,761

REGISTRATION NUMBER

Feb. 23, 2001

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): ALBERT, Denis John; WALKER, John Corrie Fleming; DICKSON, Ross Lindsay; CLARK, Thomas Alan			Docket No. 31386
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: METHOD OF SELECTING AND/OR PROCESSING WOOD ACCORDING TO FIBRE CHARACTERISTICS			
<p>I hereby certify that this Express Mail certificate; Transmittal Letter (original plus one); International Application No. PCT/NZ99/00137 including abstract, specification and eight (8) sheets of drawings; International Search Report; International Preliminary Examination Report; Preliminary Amendment including revised abstract page (8pgs.); \$1,550.00 filing fee; and return postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on February 23, 2001.</p> <p style="text-align: center;"><u>Penelope Kress</u> (Typed or Printed Name of person Mailing Correspondence)</p> <p style="text-align: center;"> (Signature of Person Mailing Correspondence)</p> <p style="text-align: center;"><u>EL759816098US</u> (“Express Mail” Mailing Label Number)</p>			

09/763511

patent application serial no. **09/763511**

*Department of Commerce
Patent and Trademark Office
fee record*

02/28/2001 UEDUVIJE 00000154 09763511

01 FC:960	1000.00 OP
02 FC:154	130.00 OP
03 FC:966	180.00 OP
04 FC:964	240.00 OP